

Name of the Insurer : Reliance Nippon Life Insurance Co Ltd.

Registration Number: 121

Statement As on : 30th September, 2021

Name of Fund LIFE FUND

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

₹ Crores

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
<b>A. <u>During the Quarter</u> <sup>1</sup></b>									
<b>B. <u>As on Date</u> <sup>2</sup></b>									
1	9.00% YES BANK AT1_Call_18-10-2022_Perpetual	OAPB	-	29/12/2017	ICRA	AA	D	06/03/2020	100% provision made on exposure of Rs.243.7 crore. Therefore net exposure is Nil
2	9.25% DEWAN HOUSING FIN NCD RED 09-09-23*	OLDB	-	14/12/2017	CARE	AAA	D	06/06/2019	On exposure of Rs. 5.06 Crore we recovered 46% after DHFL resolution and for remaining 54% we continued with provision therefore net exposure is NIL. Unrecovered 54% also written off as on 29.10.2021 after board approval.
3	9% DEWAN HOUSING FIN NCD 04-06-2023*	OLDB	-	07/06/2018	CARE	AAA	D	06/06/2019	On exposure of Rs. 24.83 Crore we recovered 46% after DHFL resolution and for remaining 54% we continued with provision therefore net exposure is NIL. Unrecovered 54% also written off as on 29.10.2021 after board approval.
4	9.1% DEWAN HOUSING FIN NCD 04-06-2028*	OLDB	-	07/06/2018	CARE	AAA	D	06/06/2019	On exposure of Rs. 49.62 Crore we recovered 46% after DHFL resolution and for remaining 54% we continued with provision therefore net exposure is NIL. Unrecovered 54% also written off as on 29.10.2021 after board approval.
5	9.00% IHFL NCD 26-09-2026 VI_Cat_3&4	ECOS	2,444.65	30/09/2016	CARE	AAA	AA	17/02/2020	

**CERTIFICATION**

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Signature: \_\_\_\_\_

Full name : Poornima Subramanian

Designation : Chief Financial Officer

Date: 30-Oct-2021

**Note:**

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 2 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investment (COI) shall be as per Guidelines issued by the Authority
- 5 All investment Figures are net of provision for diminution on investment

## FORM - 2

PART - A

Name of the Insurer : Reliance Nippon Life Insurance Co Ltd.

Registration Number: 121

Statement As on : 30th September, 2021

Name of Fund PENSION, GENERAL ANNUITY FUND

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

₹ Crores

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u> <sup>1</sup>								
		-----NIL-----							
B.	<u>As on Date</u> <sup>2</sup>								
		-----NIL-----							

**CERTIFICATION**

*Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.*

Date: 30-Oct-2021

Signature: \_\_\_\_\_

Full name : Poornima Subramanian

Designation : Chief Financial Officer

**Note:**

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
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- 4 Category of Investmet (COI) shall be as per Guidelines issued by the Authority

## FORM - 2

## PART - A

Name of the Insurer : Reliance Nippon Life Insurance Co Ltd.

Registration Number: 121

Statement As on : 30th September, 2021

Name of Fund LINKED FUNDS

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

₹ Crores

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
<b>A. <u>During the Quarter</u> <sup>1</sup></b>									
<b>B. <u>As on Date</u> <sup>2</sup></b>									
1	9.00% IHFL NCD 30-06-2026	ECOS	553.66	01/09/2016	CARE	AAA	AA	17/02/2020	
2	9.25% DEWAN HS FIN NCD 09-09-2023 SR 3B	OLDB	-	14/12/2017	CARE	AAA	D	06/06/2019	On exposure of Rs. 25.54 Crore we recovered 46% after DHFL resolution and for remaining 54% we continued with provision therefore net exposure is NIL. Unrecovered 54% also written off as on 29.10.2021 after board approval.

**CERTIFICATION**

*Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.*

Signature: \_\_\_\_\_

Date: 30-Oct-2021

Full name : Poornima Subramanian

Designation : Chief Financial Officer

**Note:**

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- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
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- 4 Category of Investment (COI) shall be as per Guidelines issued by the Authority