

NO.	CATEGORY OF INVESTMENT	COI	Current Quarter				Year to Date (current year)				Year to Date (previous year) ¹						
			Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ²	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²			
1	Central Government Securities																
	Central Government Bonds	CGSB	7,30,123.64	15,886.09	2.18%	2.18%	7,17,676.41	31,067.91	4.33%	4.33%	6,66,790.11	27,406.08	4.11%	4.11%			
	Deposit Under Section 7 of Insurance Act 1938	CGSS	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Treasury Bills	CTRB	-	-	-	-	-	-	-	-	1,247.83	19.72	1.58%	1.58%			
2	Other Approved Securities (excluding Infrastructure Investments)																
	Other Approved Securities (excluding Infrastructure Investments)	SGOA	-	-	-	-	-	-	-	-	495.13	20.83	4.21%	4.21%			
	State Government Bonds	SGGB	1,88,553.39	4,076.23	2.16%	2.16%	1,73,525.71	7,412.58	4.27%	4.27%	62,307.40	2,474.54	3.97%	3.97%			
3	INVESTMENTS SUBJECT TO EXPOSURE NORMS																
	(a) Housing & Loans to State Govt for Housing / FEE																
	Bonds/Debentures Issued by NHB	HTDN	29,083.28	664.07	2.28%	2.28%	28,033.65	1,208.90	4.31%	4.31%	17,504.83	768.56	4.39%	4.39%			
	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	(b) Infrastructure Investments																
	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	2,130.14	58.47	2.75%	2.75%	2,428.06	123.23	5.08%	5.08%	3,807.68	176.08	4.62%	4.62%			
	Infrastructure - Equity and Equity Related Instruments (Promoter Group)	IEPG	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - Debentures / Bonds / CPs / Loans - (Promoter Group)	IDPG	-	-	-	-	-	-	-	-	-	14.41	-	-	-	-	-
	Infrastructure - PSU - Debentures / Bonds	IPDT	1,78,542.21	4,037.13	2.26%	2.26%	1,72,244.32	7,446.94	4.32%	4.32%	1,47,201.43	6,412.42	4.36%	4.36%			
	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	1,369.53	140.26	10.24%	10.24%	1,893.24	142.14	7.51%	7.51%	3,184.05	-579.57	-18.20%	-18.20%			
	Infrastructure - PSU - Equity shares - Quoted	ITPE	1,974.14	-438.27	-22.20%	-22.20%	2,112.53	-464.66	-22.00%	-22.00%	4,881.72	225.56	4.62%	4.62%			
	Infrastructure - Other Corporate Securities - CPs	ICCP	-	-	-	-	-	-	-	-	4,996.20	8.56	0.17%	0.17%			
	Infrastructure - PSU - CPs	IPCP	-	-	-	-	5,649.83	21.85	0.39%	0.39%	-	-	-	-	-	-	-
	Infrastructure - Securitised Assets	IESA	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - Debentures / Bonds / CPs / Loans	IODS	12,322.35	289.00	2.35%	2.35%	12,323.15	578.38	4.69%	4.69%	12,329.42	579.04	4.70%	4.70%			
	Infrastructure - Equity (Promoter Group)	IOPE	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - Long Term Bank Bonds Approved investment	ILBI	16,924.82	346.23	2.05%	2.05%	16,659.95	423.76	2.54%	2.54%	-	-	-	-	-	-	-
	(C) Approved Investments																
	Corporate Securities - Equity shares (Ordinary) - Quoted	EACE	87,577.07	4,043.01	4.62%	4.62%	88,379.38	16,808.36	19.02%	19.02%	85,489.10	12,282.97	14.37%	14.37%			
	PSU - Equity shares - Quoted	EAEQ	458.26	1.91	0.42%	0.42%	482.80	-32.85	-6.80%	-6.80%	7,129.81	276.08	3.87%	3.87%			
	ATF - Bonds	EAPB	-	-	-	-	-	-	-	-	30,000.00	1,353.70	4.51%	4.51%			
	CCIL - CBLO	ECBO	-	-	-	-	-	-	-	-	2,948.81	65.40	2.22%	2.22%			
	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	3,585.39	185.65	5.18%	5.18%	3,585.39	365.03	10.18%	10.18%	3,523.89	326.09	9.25%	9.25%			
	Application Money	ECAM	-	-	-	-	15,532.75	23.41	0.15%	0.15%	-	-	-	-	-	-	-
	Corporate Securities - Debentures	ECOS	42,195.84	836.97	1.98%	1.98%	56,258.43	1,886.88	3.35%	3.35%	72,324.43	2,962.65	4.10%	4.10%			
	Deposits - Repo / Reverse Repo	ECMR	43,803.78	605.82	1.38%	1.38%	42,447.85	1,209.19	2.85%	2.85%	-	-	-	-	-	-	-
	Corporate Securities - Derivative Instruments	ECDI	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Deposits - CDs with Scheduled Banks	EDCD	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Corporate Securities - Debentures / Bonds/ CPs / Loan - (Promoter Group)	EDPG	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - (under Insurer's Promoter Group)	EMPG	-	-	-	-	-	-	-	-	5,179.59	179.19	3.46%	3.46%			
	Corporate Securities - Bonds - (taxable)	EPBT	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Passively Managed Equity ETF (Promoter Group)	EETP	1,976.28	-	-	-	1,976.28	-	-	-	1,061.04	488.59	46.05%	46.05%			
	MF - Gilt / Gsec / Liquid Schemes	EGMF	-	-	-	-	-	-	-	-	10,017.91	314.09	3.14%	3.14%			
	Perpetual Debt Instruments of Tier I & II Capital Issued by PSU Banks	ELPD	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Commercial Papers	ECCP	-	-	-	-	6,026.14	53.22	0.88%	0.88%	5,832.44	3.79	0.06%	0.06%			
	Corporate Securities - Preference Shares	EPNQ	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Perpetual Debt Instruments of Tier I & II Capital Issued by Non PSU Banks	EPPD	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Units of Invit	EIIT	18,047.45	483.99	2.68%	2.68%	18,155.59	945.61	5.21%	5.21%	19,160.65	1,068.13	5.57%	5.57%			
	(d) Other Investments																
	Debentures / Bonds/ CPs / Loans etc. - (Promoter Group)	ODPG	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Equity Shares (PSUs & Unlisted)	OEPU	6,775.51	-82.38	-1.22%	-1.22%	5,594.52	501.15	8.96%	8.96%	203.08	-99.47	-48.98%	-48.98%			
	Equity Shares (incl Co-op Societies)	OESH	10,596.06	-2,253.05	-21.26%	-21.26%	9,402.86	-2,487.69	-26.46%	-26.46%	8,478.72	10.92	0.13%	0.13%			
	Infrastructure - Equity (including unlisted)	IOEB	1,696.22	8.96	0.41%	0.41%	1,216.52	6.96	0.57%	0.57%	-	-	-	-	-	-	-
	Infrastructure - Debentures / Bonds / CPs / Loans - (Promoter Group)	IODP	24,983.86	838.95	3.36%	3.36%	24,985.56	1,631.47	6.53%	6.53%	24,995.38	1,301.20	5.21%	5.21%			
	Debentures	OLDB	7,938.02	-1,230.45	-15.50%	-15.50%	7,943.54	-1,094.02	-13.77%	-13.77%	-	-	-	-	-	-	-
	Passively Managed Equity ETF (Promoter Group)	OETP	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Term Loans (without Charge)	OTLW	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMCS	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Debentures / Bonds / CPs / Loans	HODS	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Debentures / Bonds / CPs / Loans - (Promoter Group)	HOPG	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Alternate Investment Funds (Category I)	OAFI	1,427.71	36.74	2.57%	2.57%	1,428.06	70.71	4.95%	4.95%	1,000.00	70.05	7.00%	7.00%			
	Alternate Investment Funds (Category II)	OAFB	5,585.22	132.48	2.37%	2.37%	5,524.21	343.63	6.22%	6.22%	5,472.79	281.23	5.14%	5.14%			
	ATF - Bonds	OAPB	29,731.09	530.39	1.78%	1.78%	29,864.81	1,203.54	4.03%	4.03%	-	-	-	-	-	-	-
	Venture Fund	OVNF	-	-	-	-	-	-	-	-	574.31	120.59	21.00%	21.00%			
	TOTAL		14,47,401.27	29,196.21	2.02%	2.02%	14,51,354.53	69,395.64	4.78%	4.78%	12,08,137.70	58,531.42	4.84%	4.84%			

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 23-Oct-2019

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time

¹ Based on daily simple Average of Investments

² Yield netted for Tax

³ In the previous year column, the figures of the corresponding Year to date of the previous financial year shall be shown

⁴ FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFNI) level and also at consolidated level.

⁵ YTD Income on investment shall be reconciled with figures in P&L and Revenue account

Signature: _____
 Full name: Poornima Subramanian
 Designation : Chief Financial Officer

Name of the Insurer: Reliance Nippon Life Insurance Co Ltd

Registration Number: 121

Statement As on : 30th Sept, 2019

Statement of Investment and Income on Investment

Name of the Fund : PENSION AND GENERAL ANNUITY FUND

₹ Lakhs

Periodicity of Submission: Quarterly

NO.	CATEGORY OF INVESTMENT	COI	Current Quarter				Year to Date (current year)				Year to Date (previous year) ¹			
			Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
1	Central Government Securities													
	Central Government Bonds	CGSB	13,907.18	241.87	1.74%	1.74%	13,923.65	488.83	3.51%	3.51%	9,195.95	342.69	3.73%	3.73%
	Deposit Under Section 7 of Insurance Act 1938	CDSS	-	-	-	-	-	-	-	-	-	-	-	-
	Treasury Bills	CTRB	-	-	-	-	-	-	-	-	687.29	17.78	2.59%	2.59%
2	Other Approved Securities (excluding Infrastructure Investments)													
	Other Approved Securities (excluding Infrastructure Investments)	SGOA	-	-	-	-	-	-	-	-	-	-	-	-
	State Government Bonds	SGGB	5,042.13	103.75	2.06%	2.06%	4,895.18	199.92	4.06%	4.06%	8,333.06	324.19	3.89%	3.89%
3	INVESTMENTS SUBJECT TO EXPOSURE NORMS													
	(a) Housing & Loans to State Govt for Housing / FEE													
	Bonds/Debentures issued by NHB	HTDN	654.48	14.48	2.21%	2.21%	794.70	33.58	4.23%	4.23%	601.06	23.89	3.97%	3.97%
	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	-	-	-	-	-	-	-	-	-	-	-	-
	(b) Infrastructure Investments													
	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - Equity and Equity Related Instruments (Promoter Group)	IEPG	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - Debentures / Bonds / CPs / Loans - (Promoter Group)	IDPG	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - PSU - Debentures / Bonds	IPDT	2,072.92	38.99	1.88%	1.88%	1,739.04	66.92	3.85%	3.85%	1,329.48	58.04	4.37%	4.37%
	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - PSU - Equity shares - Quoted	ITPE	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - Other Corporate Securities - CPs	ICCP	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - PSU - CPs	IPCP	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - Securitised Assets	IESA	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - Debentures / Bonds / CPs / Loans	IODS	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - Equity (Promoter Group)	IOPE	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - Long Term Bank Bonds Approved Investment	ILBI	-	-	-	-	-	-	-	-	-	-	-	-
	(c) Approved Investments													
	Corporate Securities - Equity shares (Ordinary) - Quoted	EACE	-	-	-	-	-	-	-	-	-	-	-	-
	PSU - Equity shares - Quoted	EAEQ	-	-	-	-	-	-	-	-	-	-	-	-
	AT1 - Bonds	EAPB	-	-	-	-	-	-	-	-	-	-	-	-
	CCIL - CBO	ECBO	-	-	-	-	-	-	-	-	156.66	4.46	2.84%	2.84%
	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	-	-	-	-	-	-	-	-	99.60	5.98	6.00%	6.00%
	Application Money	ECAM	-	-	-	-	-	-	-	-	-	-	-	-
	Corporate Securities - Debentures	ECOS	450.75	8.73	1.94%	1.94%	452.64	16.20	3.58%	3.58%	1,774.30	69.16	3.90%	3.90%
	Deposits - Repo / Reverse Repo	ECMR	603.88	8.15	1.35%	1.35%	719.39	20.39	2.83%	2.83%	-	-	-	-
	Corporate Securities - Derivative Instruments	ECDI	-	-	-	-	-	-	-	-	-	-	-	-
	Deposits - CDs with Scheduled Banks	ECCD	-	-	-	-	-	-	-	-	-	-	-	-
	Corporate Securities - Debentures / Bonds/ CPs / Loan - (Promoter Group)	EDPG	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - (under Insurer's Promoter Group)	EMPG	-	-	-	-	-	-	-	-	407.43	14.24	3.49%	3.49%
	Corporate Securities - Bonds - (Taxable)	EBTB	-	-	-	-	-	-	-	-	-	-	-	-
	Passively Managed Equity ETF (Promoter Group)	EETP	-	-	-	-	-	-	-	-	-	-	-	-
	MF - Gilt / Govt / Liquid Schemes	EGMF	-	-	-	-	-	-	-	-	-	-	-	-
	Perpetual Debt Instruments of Tier I & II Capital issued by PSU Banks	EUPD	-	-	-	-	-	-	-	-	221.28	6.92	3.13%	3.13%
	Commercial Papers	ECCP	-	-	-	-	-	-	-	-	-	-	-	-
	Corporate Securities - Preference Shares	EPNQ	-	-	-	-	-	-	-	-	-	-	-	-
	Perpetual Debt Instruments of Tier I & II Capital issued by Non PSU Banks	EPPD	-	-	-	-	-	-	-	-	-	-	-	-
	Units of Invit	EUIT	-	-	-	-	-	-	-	-	-	-	-	-
	(d) Other Investments													
	Debentures / Bonds/ CPs / Loans etc. - (Promoter Group)	ODPG	-	-	-	-	-	-	-	-	-	-	-	-
	Equity Shares (PSUs & Unlisted)	OEPH	-	-	-	-	-	-	-	-	-	-	-	-
	Equity Shares (incl Co-op Societies)	OESH	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - Equity (including unlisted)	IOEC	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - Debentures / Bonds / CPs / Loans - (Promoter Group)	IOPD	-	-	-	-	-	-	-	-	-	-	-	-
	Debentures	OLDB	-	-	-	-	-	-	-	-	-	-	-	-
	Passively Managed Equity ETF (Promoter Group)	OETP	-	-	-	-	-	-	-	-	-	-	-	-
	Term Loans (without Charge)	OTLW	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMCS	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-
	Debentures / Bonds / CPs / Loans	HODS	-	-	-	-	-	-	-	-	-	-	-	-
	Debentures / Bonds / CPs / Loans - (Promoter Group)	HOPG	-	-	-	-	-	-	-	-	-	-	-	-
	Alternate Investment Funds (Category I)	OAFI	-	-	-	-	-	-	-	-	-	-	-	-
	Alternate Investment Funds (Category II)	OAFB	-	-	-	-	-	-	-	-	-	-	-	-
	AT1 - Bonds	OAPB	-	-	-	-	-	-	-	-	-	-	-	-
	Venture Fund	OVNF	-	-	-	-	-	-	-	-	-	-	-	-
	TOTAL		22,731.33	415.98	1.83%	1.83%	22,524.61	825.84	3.67%	3.67%	22,806.30	867.34	3.80%	3.80%

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 23-Oct-2019

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1 Based on daily simple Average of Investments

2 Yield netted for Tax

3 In the previous year column, the figures of the corresponding Year to date of the previous financial year shall be shown

4 FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFNI) level and also at consolidated level.

5 YTD Income on investment shall be reconciled with figures in P&L and Revenue account

Signature: _____

Full name: Poornima Subramanian

Designation : Chief Financial Officer

