

## FORM - 2

PART - A

Name of the Insurer : Reliance Nippon Life Insurance Co Ltd.

Registration Number: 121

Statement As on : 30th June, 2019

Name of Fund LIFE FUND

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

₹ Lacs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
<b>A. <u>During the Quarter</u> <sup>1</sup></b>									
1	9.25% DEWAN HOUSING FIN NCD RED 09-09-23	OLDB	506.03	14/12/2017	CARE	AAA	D	06/06/2019	
2	9% DEWAN HOUSING FIN NCD 04-06-2023	OLDB	2,483.28	07/06/2018	CARE	AAA	D	06/06/2019	
3	9.1% DEWAN HOUSING FIN NCD 04-06-2028	OLDB	4,961.67	07/06/2018	CARE	AAA	D	06/06/2019	
4	13.71% RELIANCE POWER LTD NCD 25-05-2020 SR III	IOPD	24,985.14	13/07/2017	ICRA	A1	C	20/06/2019	
5	9.00% YES BANK AT1_Call_ 18-10-2022_Perpetual	OAPB	30,000.00	29/12/2017	ICRA	AA	A	06/05/2019	
			-						
			-						
			-						
<b>B. <u>As on Date</u> <sup>2</sup></b>									
1	13.71% RELIANCE POWER LTD NCD 25-05-2020 SR III	IOPD	24,985.14	13/07/2017	ICRA	A1	C	20/06/2019	
2	9% YES BANK PERPETUAL CALL 18-10-2022	OAPB	30,000.00	29/12/2017	ICRA	AA	A	06/05/2019	
3	9.25% DEWAN HOUSING FIN NCD RED 09-09-23	OLDB	506.03	14/12/2017	CARE	AAA	D	06/06/2019	
4	9% DEWAN HOUSING FIN NCD 04-06-2023	OLDB	2,483.28	07/06/2018	CARE	AAA	D	06/06/2019	
5	9.1% DEWAN HOUSING FIN NCD 04-06-2028	OLDB	4,961.67	07/06/2018	CARE	AAA	D	06/06/2019	

**CERTIFICATION**

*Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.*

Signature: \_\_\_\_\_

Date: 26-Jul-2019

Full name : Poornima Subramanian

Designation : Chief Financial Officer

**Note:**

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 2 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investment (COI) shall be as per Guidelines issued by the Authority

## FORM - 2

PART - A

Name of the Insurer : Reliance Nippon Life Insurance Co Ltd.

Registration Number: 121

Statement As on : 30th June, 2019

Name of Fund PENSION, GENERAL ANNUITY FUND

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

₹ Lacs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u> <sup>1</sup>								
		-----NIL-----							
B.	<u>As on Date</u> <sup>2</sup>								
		-----NIL-----							

**CERTIFICATION**

*Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.*

Date: 26-Jul-2019

Signature: \_\_\_\_\_

Full name : Poornima Subramanian

Designation : Chief Financial Officer

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FORM - 2

PART - A

Name of the Insurer : Reliance Nippon Life Insurance Co Ltd.

Registration Number: 121

Statement As on : 30th June, 2019

Name of Fund LINKED FUNDS

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

₹ Lacs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
<b>A. <u>During the Quarter</u> <sup>1</sup></b>									
1	9.25% DEWAN HS FIN NCD 09-09-2023 SR 3B	OLDB	625.00	14/12/2017	CARE	AAA	D	06/06/2019	
<b>B. <u>As on Date</u> <sup>2</sup></b>									
1	9.25% DEWAN HS FIN NCD 09-09-2023 SR 3B	OLDB	625.00	14/12/2017	CARE	AAA	D	06/06/2019	

**CERTIFICATION**

*Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.*

Date: 26-Jul-2019

Signature: \_\_\_\_\_

Full name : Poornima Subramanian

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