

## FORM - 2

PART - A

Name of the Insurer : Reliance Nippon Life Insurance Co Ltd.

Registration Number: 121

Statement As on : 30th Sep, 2018

Name of Fund LIFE FUND

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

₹ Lacs

| No  | Name of the Security                               | COI  | Amount    | Date of Purchase | Rating Agency | Original Grade | Current Grade | Date of Downgrade | Remarks |
|---|--|------|-----------|------------------|---------------|----------------|---------------|-------------------|---------|
| A. <u>During the Quarter</u> <sup>1</sup> |  |      |           |                  |               |                |               |                   |         |
| 1   | 10.75% RELIANCE POWER NCD RED 25-04-2019           | IOPD | 24,994.41 | 13-07-17         | ICRA          | A1             | A4            | 03-08-18          |         |
| B. <u>As on Date</u> <sup>2</sup>         |  |      |           |                  |               |                |               |                   |         |
| 1   | 10.10% Indian Hotels Company Ltd NCD (MD 18/11/21) | ECOS | 1,008.29  | 01-02-12         | ICRA          | AA+            | AA            | 14-09-12          |         |
| 2   | 10.75% RELIANCE POWER NCD RED 25-04-2019           | IOPD | 24,994.41 | 13-07-17         | ICRA          | A1             | A4            | 03-08-18          |         |

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 26-Oct-2018

Signature: \_\_\_\_\_

Full name : Poornima SubramanianDesignation : Chief Financial OfficerNote:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 2 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investmet (COI) shall be as per Guidelines issued by the Authority

## FORM - 2

PART - A

Name of the Insurer : Reliance Nippon Life Insurance Co Ltd.

Registration Number: 121

Statement As on : 30th Sep, 2018

Name of Fund PENSION, GENERAL ANNUITY FUND

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

₹ Lacs

| No | Name of the Security                   | COI           | Amount | Date of Purchase | Rating Agency | Original Grade | Current Grade | Date of Downgrade | Remarks |
|----|--|---------------|--------|------------------|---------------|----------------|---------------|-------------------|---------|
| A. | <u>During the Quarter</u> <sup>1</sup> |               |        |                  |               |                |               |                   |         |
|    |  | -----NIL----- |        |                  |               |                |               |                   |         |
| B. | <u>As on Date</u> <sup>2</sup>         |               |        |                  |               |                |               |                   |         |
|    |  | -----NIL----- |        |                  |               |                |               |                   |         |
|    |  |               |        |                  |               |                |               |                   |         |

CERTIFICATION

*Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.*

Date: 26-Oct-2018

Signature: \_\_\_\_\_

Full name : Poornima SubramanianDesignation : Chief Financial OfficerNote:

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- 4 Category of Investment (COI) shall be as per Guidelines issued by the Authority

## FORM - 2

PART - A

Name of the Insurer : Reliance Nippon Life Insurance Co Ltd.

Registration Number: 121

Statement As on : 30th Sep, 2018

Name of Fund LINKED FUNDS

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

₹ Lacs

| No | Name of the Security                   | COI  | Amount   | Date of Purchase | Rating Agency | Original Grade | Current Grade | Date of Downgrade | Remarks |
|----|--|------|----------|------------------|---------------|----------------|---------------|-------------------|---------|
| A. | <u>During the Quarter <sup>1</sup></u> |      |          |                  |               |                |               |                   |         |
| 1  | IL&FS FIN SER LTD. CP RED 07-02-2019   | IODS | 1,840.00 | 08-02-18         | ICRA          | A1+            | D             | 17-09-18          |         |
| B. | <u>As on Date <sup>2</sup></u>         |      |          |                  |               |                |               |                   |         |
|    | IL&FS FIN SER LTD. CP RED 07-02-2019   | IODS | 1,840.00 | 08-02-18         | ICRA          | A1+            | D             | 17-09-18          |         |

CERTIFICATION

*Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.*

Date: 26-Oct-2018

Signature: \_\_\_\_\_

Full name : Poornima SubramanianDesignation : Chief Financial Officer**Note:**

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