

FORM - 3A

Name of the Insurer: Reliance Nippon Life Insurance Co Ltd

Registration Number: 121

Statement as on: 30th Sep, 2017

Statement of Investment Assets (Life Insurers)

(Business within India)

Periodicity of Submission: Quarterly

PART - A

₹ Lacs

Section I

No	PARTICULARS	SCH	Amount
1	Investments (Shareholders)	8	121,700.69
	Investments (Policyholders)	8A	951,680.27
	Investments (Linked Liabilities)	8B	724,889.03
2	Loans	9	2,351.06
3	Fixed Assets	10	2,609.26
4	Current Assets		
	a. Cash & Bank Balance	11	11,603.58
	b. Advances & Other Assets	12	106,286.41
5	Current Liabilities		
	a. Current Liabilities	13	99,040.53
	b. Provisions	14	307.86
	c. Misc. Exp not Written Off	15	-
	d. Debit Balance of P&L A/c		27,719.29
Application of Funds as per Balance Sheet (A)			1,794,052.62

Reconciliation of Investment Assets

Total Investment Assets (as per Balance Sheet)

Balance Sheet Value of:

A. Life Fund	1,049,373.19
B. Pension & General Annuity and Group Business	24,007.77
C. Unit Linked Funds	724,889.03
Total	1,798,269.99

	SCH	Amount
Less: Other Assets		
1 Loans (if any)	9	2,351.06
2 Fixed Assets (if any)	10	2,609.26
3 Cash & Bank Balance (if any)	11	11,603.58
4 Advances & Other Assets (if any)	12	106,286.41
5 Current Liabilities	13	99,040.53
6 Provisions	14	307.86
7 Misc. Exp not Written Off	15	-
8 Investments held outside India		
9 Debit Balance of P&L A/c		27,719.29
TOTAL (B)		(4,217.37)
Investment Assets (A-B)		1,798,269.99

Section II

NON - LINKED BUSINESS

A. LIFE FUND	% as per Reg	SH		PH			Book Value (SH+PH)	Actual %	FVC Amount	Total Fund	Market Value
		Balance	FRSM*	UL-Non Unit Res	PAR	NON PAR					
		(a)	(b)	(c)	(d)	(e)					
1 Central Govt. Sec	Not Less than 25%	12,138.75	25,370.77	4,663.19	214,809.96	330,506.54	587,489.22	57.84%	-	587,489.22	629,121.90
2 Central Govt Sec, State Govt Sec or Other Approved Securities (incl (1) above)	Not Less than 50%	13,920.21	43,188.52	4,740.07	220,081.30	352,663.23	634,593.33	62.39%	-	634,593.33	678,101.26
3 Investment subject to Exposure Norms											
a. Infrastructure/ Social/ Housing Sector											
1. Approved Investments	Not Less than 15%	11,501.10	11,801.67	984.14	72,554.60	79,624.30	176,465.80	16.58%	(637.64)	175,828.17	186,980.61
2. Other Investments		499.86	3,504.33	156.72	21,751.76	11,413.54	37,326.20	3.70%	-	37,326.20	39,268.76
b. i) Approved Investments	Not exceeding 35%	13,094.19	18,313.39	751.10	73,806.13	62,743.95	168,708.75	15.64%	14,513.54	183,222.29	186,338.83
ii) Other Investments		1,339.76	2,206.18	99.07	7,580.18	6,834.90	18,060.09	1.68%	343.10	18,403.20	18,472.38
TOTAL LIFE FUND	100%	40,355.11	79,014.08	6,731.10	395,773.96	513,279.93	1,035,154.19	100.00%	14,219.00	1,049,373.19	1,109,161.84

B. PENSION & GENERAL ANNUITY AND GROUP BUSINESS	% as per Reg	PH		Book Value	Actual %	FVC Amount	Total Fund	Market Value
		PAR	NON PAR					
		(a)	(b)					
1 Central Govt. Sec	Not Less than 20%	301.41	8,298.99	8,600.40	35.82%	-	8,600.40	8,892.94
2 Central Govt Sec, State Govt Sec or Other Approved Securities (incl (1) above)	Not Less than 40%	558.49	18,056.85	18,615.35	77.54%	-	18,615.35	19,090.69
3 Balance in Approved investment	Not Exceeding 60%	40.07	5,352.15	5,392.23	22.46%	0.20	5,392.42	5,461.30
TOTAL PENSION, GENERAL ANNUITY FUND	100%	598.57	23,409.01	24,007.58	100%	0.20	24,007.77	24,551.98

LINKED BUSINESS

C. LINKED FUNDS	% as per Reg	PH		Total Fund	Actual %
		PAR	NON PAR		
		(a)	(b)		
1 Approved Investments	Not Less than 75%	-	680,720.55	680,720.55	93.91%
2 Other Investments	Not More than 25%	-	44,168.48	44,168.48	6.09%
TOTAL LINKED INSURANCE FUND	100%	-	724,889.03	724,889.03	100%

CERTIFICATION:

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Signature: _____

Full name : Sunder Krishnan/Viral Berawala

Designation : Chief Risk Officer/Chief Investment Officer

Date: 27-Oct-2017

Note: 1 (*) FRSM refers to 'Funds representing Solvency Margin'

2 Funds beyond Solvency Margin shall have a separate Custody Account.

3 Other Investments shall be as permitted as per Sec 27A (2) of Insurance Act, 1938 as amended from time to time

4 Pattern of Investment is applicable to both Shareholders funds representing solvency margin and policyholders funds.

5 Exposure Norms shall apply to Funds held beyond Solvency Margin, held in a separate Custody Account