

FORM - 3A

Name of the Insurer: Reliance Nippon Life Insurance Co Ltd

Registration Number: 121

Statement as on: 31st Mar, 2017

Statement of Investment Assets (Life Insurers)

(Business within India)

Periodicity of Submission: Quarterly

PART - A

₹ Lacs

Section I

| No | PARTICULARS | SCH | Amount |
|--|----------------------------------|-----|---------------------|
| 1 | Investments (Shareholders) | 8 | 131,674.98 |
| | Investments (Policyholders) | 8A | 846,740.88 |
| | Investments (Linked Liabilities) | 8B | 746,890.93 |
| 2 | Loans | 9 | 2,172.07 |
| 3 | Fixed Assets | 10 | 2,950.02 |
| 4 | Current Assets | | - |
| | a. Cash & Bank Balance | 11 | 18,836.99 |
| | b. Advances & Other Assets | 12 | 100,708.08 |
| 5 | Current Liabilities | | 0 |
| | a. Current Liabilities | 13 | 95,981.20 |
| | b. Provisions | 14 | 25.94 |
| | c. Misc. Exp not Written Off | 15 | - |
| | d. Debit Balance of P&L A/c | | 25,840.41 |
| Application of Funds as per Balance Sheet (A) | | | 1,728,126.40 |

Reconciliation of Investment Assets

Total Investment Assets (as per Balance Sheet)

1,725,306.77

Balance Sheet Value of:

| | |
|---|---------------------|
| A. Life Fund | 954,771.83 |
| B. Pension & General Annuity and Group Business | 23,644.01 |
| C. Unit Linked Funds | 746,890.93 |
| | 1,725,306.77 |

| | SCH | Amount | |
|--------------------------------|----------------------------------|---------------------|------------|
| 1 | Loans (if any) | 9 | 2,172.07 |
| 2 | Fixed Assets (if any) | 10 | 2,950.02 |
| 3 | Cash & Bank Balance (if any) | 11 | 18,836.99 |
| 4 | Advances & Other Assets (if any) | 12 | 100,708.10 |
| 5 | Current Liabilities | 13 | 95,981.20 |
| 6 | Provisions | 14 | 25.94 |
| 7 | Misc. Exp not Written Off | 15 | - |
| 8 | Investments held outside India | | - |
| 9 | Debit Balance of P&L A/c | | 25840.41 |
| TOTAL (B) | | 2,819.63 | |
| Investment Assets (A-B) | | 1,725,306.77 | |

Section II

NON - LINKED BUSINESS

| A. LIFE FUND | % as per Reg | SH | | PH | | | Book Value (SH+PH) | Actual % | FVC Amount | Total Fund | Market Value | | |
|------------------------|---|-------------------|-------------|------------------|------------------|-----------------|--------------------|-------------------|-------------------|----------------|------------------|-------------------|---------------------|
| | | Balance | FRSM* | UL-Non Unit Res | PAR | NON PAR | | | | | | | |
| | | (a) | (b) | (c) | (d) | (e) | | | | | | | |
| 1 | Central Govt. Sec | Not Less than 25% | 14,537.50 | 25,292.92 | 4,571.40 | 195,181.54 | 270,201.55 | 495,247.41 | 55.97% | - | 509,784.92 | 542,914.57 | |
| 2 | Central Govt. Sec, State Govt Sec or Other Approved Securities (incl (1) above) | Not Less than 50% | 16,321.55 | 40,096.73 | 4,708.55 | 200,458.21 | 295,757.11 | 541,020.61 | 61.14% | - | 557,342.15 | 592,260.61 | |
| 3 | Investment subject to Exposure Norms | | - | - | - | - | - | - | 0.00% | - | - | - | |
| a. | Infrastructure/ Social/ Housing Sector | | - | - | - | - | - | - | 0.00% | - | - | - | |
| | 1. Approved Investments | Not Less than 15% | 16,758.60 | 12,896.43 | 1,148.23 | 74,195.94 | 84,035.96 | 172,276.56 | 19.47% | - | 189,035.16 | 200,661.27 | |
| | 2. Other Investments | | - | 1,005.04 | 103.14 | 9,257.98 | 5,632.53 | 15,998.69 | 1.81% | - | 15,998.69 | 17,890.95 | |
| b. | ij) Approved Investments | Not exceeding 35% | 18,889.54 | 15,586.62 | 809.26 | 68,113.99 | 52,519.41 | 137,029.28 | 15.49% | 15,597.01 | 171,515.83 | 174,059.09 | |
| | ij) Other Investments | | 1,555.15 | 4,952.96 | 91.64 | 8,286.85 | 5,257.29 | 18,588.74 | 2.10% | 736.12 | 20,880.00 | 20,978.01 | |
| TOTAL LIFE FUND | | | 100% | 53,524.83 | 74,537.78 | 6,860.81 | 360,312.98 | 443,202.29 | 884,913.87 | 100.00% | 16,333.13 | 954,771.83 | 1,005,849.93 |

| B. PENSION & GENERAL ANNUITY AND GROUP BUSINESS | % as per Reg | PH | | Book Value | Actual % | FVC Amount | Total Fund | Market Value | |
|---|--|-------------------|----------|------------------|------------------|-------------|------------|------------------|------------------|
| | | PAR | NON PAR | | | | | | |
| | | (a) | (b) | | | | | | |
| 1 | Central Govt. Sec | Not Less than 20% | - | 8,709.96 | 8,709.96 | 36.84% | - | 8,709.96 | 8,966.00 |
| 2 | Central Govt Sec, State Govt Sec or Other Approved Securities (incl (1) above) | Not Less than 40% | - | 18,709.63 | 18,709.63 | 79.13% | - | 18,709.63 | 19,137.75 |
| 3 | Balance in Approved investment | Not Exceeding 60% | - | 4,934.38 | 4,934.38 | 20.87% | - | 4,934.38 | 5,013.42 |
| TOTAL PENSION, GENERAL ANNUITY FUND | | 100% | - | 23,644.01 | 23,644.01 | 100% | - | 23,644.01 | 24,151.16 |

LINKED BUSINESS

| C. LINKED FUNDS | % as per Reg | PH | | Total Fund | Actual % | |
|------------------------------------|----------------------|-------------------|----------|-------------------|-------------------|-------------|
| | | PAR | NON PAR | | | |
| | | (a) | (b) | | | |
| 1 | Approved Investments | Not Less than 75% | - | 694,199.90 | 694,199.90 | 92.95% |
| 2 | Other Investments | Not More than 25% | - | 52,691.03 | 52,691.03 | 7.05% |
| TOTAL LINKED INSURANCE FUND | | 100% | - | 746,890.93 | 746,890.93 | 100% |

CERTIFICATION:

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Signature: _____ Sd/_____

Date: 27-Apr-2017

Full name: Sunil Agrawal

Designation : Chief Financial Officer

Note: 1 (+) FRSM refers to 'Funds representing Solvency Margin'

2 Funds beyond Solvency Margin shall have a separate Custody Account.

3 Other Investments shall be as permitted as per Sec 27A (2) of Insurance Act, 1938 as amended from time to time

4 Pattern of Investment is applicable to both Shareholders funds representing solvency margin and policyholders funds.

5 Exposure Norms shall apply to Funds held beyond Solvency Margin, held in a separate Custody Account