

FORM - 2

PART - A

Name of the Insurer : Reliance Nippon Life Insurance Co Ltd.

Registration Number: 121

Statement As on : 30th Sep, 2016

Name of Fund LIFE FUND

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

₹ Lacs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter ¹</u>								
	-----NIL-----								
B.	<u>As on Date ²</u>								
1	2% Indian hotels ltd. NCD (MD 23/04/17)	ECOS	708.66	05-07-12	ICRA	AA+	AA	14-09-12	
2	9.90% Indian Hotels Company Ltd NCD (MD 24/02/17)	ECOS	1,500.00	24-02-12	ICRA	AA+	AA	14-09-12	
3	10.10% Indian Hotels Company Ltd NCD (MD 18/11/21)	ECOS	1,515.91	01-02-12	ICRA	AA+	AA	14-09-12	
4	9.55% Hindalco Industries Ltd NCD (MD 27/06/2022)	OLDB	4,110.78	10-10-12	CRISIL	AA+	AA-	03-08-15	

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 4-Nov-2016

Signature : _____ Sd/-
 Full Name : Sunil Agrawal
 Designation : Chief Financial Officer

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 2 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investment (COI) shall be as per INV/GLN/001/2003-04

FORM - 2

PART - A

Name of the Insurer : Reliance Nippon Life Insurance Co Ltd.

Registration Number: 121

Statement As on : 30th Sep, 2016

Name of Fund PENSION, GENERAL ANNUITY FUND

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

₹ Lacs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u> ¹								
		-----NIL-----							
B.	<u>As on Date</u> ²								
		-----NIL-----							

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 4-Nov-2016

Signature : _____ Sd/-

Full Name : Sunil Agrawal

Designation : Chief Financial Officer

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
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FORM - 2

PART - A

Name of the Insurer : Reliance Nippon Life Insurance Co Ltd.

Registration Number: 121

Statement As on : 30th Sep, 2016

Name of Fund LINKED FUNDS

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

₹ Lacs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u> ¹								
		-----NIL-----							
B.	<u>As on Date</u> ²								
		-----NIL-----							

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 4-Nov-2016

Signature : _____ Sd/-

Full Name : Sunil Agrawal

Designation : Chief Financial Officer

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
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